

# Characteristics of patients expressing an interest in ketamine treatment: results of an online survey

Jolien K. E. Veraart, Sanne Y. Smith-Apeldoorn, Hayley Trueman, Marrit K. de Boer, Robert A. Schoevers and Rupert McShane

## Background

Off-label ketamine treatment has shown acute antidepressant effects that offer hope for patients with therapy-resistant depression. However, its potential for integration into treatment algorithms is controversial, not least because the evidence base for maintenance treatment with repeated ketamine administration is currently weak. Ketamine is also a drug of misuse, which has raised concerns regarding the target population. Little is known about which patients would seek ketamine treatment if it were more widely available.

## Aims

To explore some of the characteristics of the patients actively seeking ketamine treatment.

## Method

An online survey containing questions about duration of current depressive episode, number of antidepressants used and other comments was completed by patients who were exploring the internet regarding the possibility of ketamine for depression.

## Results

Of the 1088 people who registered their interest, 93.3% reported depression, 64.3% reported a chronic course of their symptoms and in the past 10 years, 86.3% had tried at least two antidepressants. Desperation was a common theme, but this appeared to be competently expressed. A small minority (<8%) reported experience of illegal ketamine use.

## Conclusions

It cannot be ruled out that patients with different degrees of treatment resistance and comorbidities will seek treatment with ketamine. This stresses the urgency to perform larger randomised controlled trials as well as to systematically monitor outcomes and adverse effects of ketamine, that is currently prescribed off-label for patients in need.

## Declaration of interest

R.M. is consulting and is Principal Investigator for Janssen trials of esketamine and is consulting for Eleusis.

## Keywords

Ketamine; antidepressant; depression; treatment resistant; off label.

## Copyright and usage

© The Royal College of Psychiatrists 2018. This is an Open Access article, distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivatives licence (<http://creativecommons.org/licenses/by-nc-nd/4.0/>), which permits non-commercial re-use, distribution, and reproduction in any medium, provided the original work is unaltered and is properly cited. The written permission of Cambridge University Press must be obtained for commercial re-use or in order to create a derivative work.

Depression is the leading cause of disability worldwide and the chronic or recurrent course results in substantial personal, social and economic consequences.<sup>1</sup> Although many effective antidepressant treatment strategies are available, approximately one-third of patients with major depressive disorder do not achieve remission.<sup>2,3</sup> There is an urgent need for the development of new therapeutic options in major depressive disorder.

Meta-analyses have shown a rapid and robust antidepressant effect lasting 1–2 weeks following administration of the *N*-methyl-D-aspartate receptor antagonist ketamine to patients with treatment-resistant depression.<sup>4–6</sup> These promising results have led to growing media interest in the use of ketamine in psychiatric disorders over the past 15 years.<sup>7</sup> Clinicians are legally allowed to prescribe the drug, although it has not been approved as a treatment for depression. Despite relatively scarce information on the consequences of repeated and longer-term use for depression and the misuse potential, media reporting about ketamine as a new breakthrough in the treatment of depression is widespread. This has encouraged many patients to request ketamine treatment and commercial clinics in the USA are offering the treatment on a large scale.<sup>8</sup> The placement of off-label ketamine within the current algorithm of antidepressant treatment has been the subject of discussion for the past 10 years. The Ketamine Advocacy Network aims for ketamine treatment to be widely offered,<sup>9</sup> and a wide variety of patients are eager to try the experimental treatment, sometimes prior to conventional evidence-based antidepressant treatment.

Others have taken the approach that clinical implementation of ketamine treatment is premature and should wait for better evidence on effects and side-effects.<sup>10,11</sup> Consensus statements and ethical discussions advocate cautious expansion of use.<sup>8,12</sup>

An understanding of the behaviour and attitudes of patients who are considering ketamine as an antidepressant option will help inform policy and practical decisions about its clinical use.

## Method

A simple survey was constructed that contained the following questions.

- Do you suffer from depression?
- How many different antidepressant drugs have you taken in the last year? (0, 1, 2, 3, 4, 5, more than 5)
- How many different antidepressants have you taken in the last 10 years? (0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 or more)
- How long ago were you last free from depression for more than a month?
- Are you currently under the care of a psychiatrist or mental health team?
- Employment status
- How old are you? (Under 20, 21–40, 41–60, 61–70, 71 or over)
- Please use this box for any other comments or questions

A link to the survey was posted on the website of RedKITE (<http://www.red-kite.org.uk>) and in an article reporting the publication of results of case series<sup>13</sup> published on the website of an National Health Service (NHS) hospital trust.<sup>14</sup> RedKITE was a collaboration between clinicians and researchers across the UK exploring the use of ketamine as a possible treatment for depression. This survey link therefore could only be found by those who were very active in exploring ketamine as a treatment for depression. It was not directly discoverable through standard internet searching. Completion of the survey was invited thus: ‘The study team (i.e. for the published case series) would like to hear from patients and others who are interested in this area. Please register this interest at <https://www.surveymonkey.com/r/9RQP5KL>’. The answers of this survey were collected from the 2 April 2014 until the 5 February 2017. Basic descriptive statistics (such as frequencies) were used to describe the results of the survey. The qualitative, free-text comments were assessed for comments about the following topics: poor effect, intolerable side-effects or other adverse comments considering regular antidepressant medication, desperation and suicidality, former ketamine use and negative opinions about ketamine. The Health Research Authority decided that this project was not considered to be research and did not require review by an NHS research ethics committee.

## Results

### Depression

The online survey regarding people’s interest in treatment with ketamine for depression was filled out 1088 times. Most (93.3%) reported having depression (Table 1). The other 6.7% were filled in on behalf of relatives or by people with a professional interest in treatment of depression with ketamine. Of those reporting depression, most (88.1%) were in the age category of 21–60 years old, 8.7% were older than 60 years old and 3.2% of the people were younger than 21 years old (Table 1).

### Duration of current depressive episode

Of the people reporting depression, 64.3% had not been free from depressive symptoms for more than 2 years (chronic depression) and half of these reported unrelenting depression for more than 10 years (32.6%). Of the people reporting current depression, 19.4% had been free from these symptoms for more than a month within the past 2 years (Table 1). Other patients (16.3%) did not answer the question or could not recall when they were last free from depression, with the latter answer suggesting a chronic course. However, only 51.9% of the patients were currently under the care of a psychiatrist or a mental health team.

### Antidepressants

No regular antidepressant medication was used within the past year by 13.5% of the patients feeling currently depressed and 5.3% had not used antidepressants in the past 10 years (Table 1).

Most people reporting depression had used at least two antidepressants in the past year (54.0%) and 9.6% had tried five or more antidepressants. Over the past 10 years, 86.3% had used at least two antidepressants, of which 47.2% had tried five or more. In the group of patients who reported chronic depression, 87.9% had used at least two antidepressants in the past 10 years.

### Summary of other comments

A free-text ‘comments’ field was completed by 499 respondents (49.2% of patients reporting depression). Of these, 221 (44.3%)

**Table 1** Descriptive statistics

Characteristic	n (%)
<i>All respondents</i>	
Depression status	1088
With depression	1015 (93.3)
Employment status*	1012
Employed	422 (41.7)
Unemployed	299 (29.5)
In education	114 (11.3)
Retired	75 (7.4)
Other	167 (16.5)
<i>Respondents with depression</i>	
Age	1010
<21 years	32 (3.2)
21–60 years	890 (88.1)
>60 years	88 (8.7)
Currently receiving mental healthcare	1008
Yes	523 (51.9)
Duration of depressive episode	1015
<2 years	197 (19.4)
≥2 years	653 (64.3)
Unknown	165 (16.3)
Amount of antidepressants in the past year	1005
0	136 (13.5)
1	326 (32.4)
2–4	447 (44.5)
≥5	96 (9.6)
Amount of antidepressants in the past 10 years	1009
0	53 (5.3)
1	85 (8.4)
2–4	395 (39.1)
≥5	476 (47.2)
In those patients reporting ≥2 years with depression	661
≥2 different antidepressants in the past 10 years	581 (87.9)

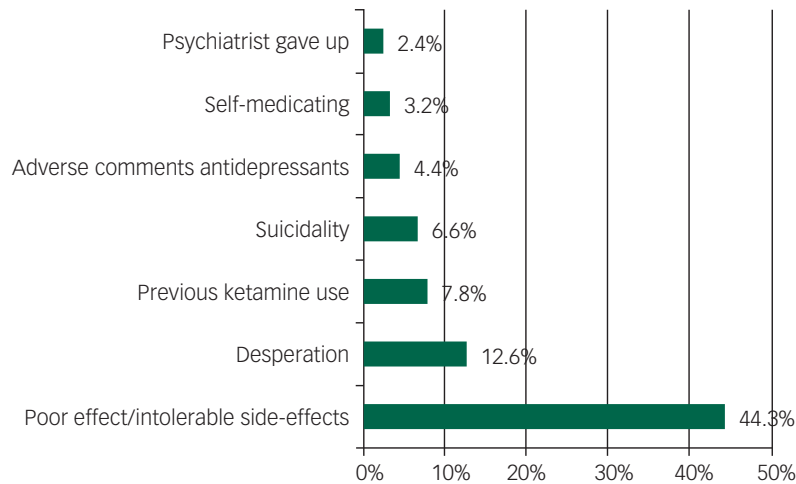
\*The sum of these percentages are >100 because some people were in more than one category.

reported poor effect or intolerable side-effects from their current or previous antidepressant medication (Fig. 1). A further 22 respondents (4.4%) made other adverse comments: that drug companies are withholding clinical trial evidence; or that antidepressants make you feel falsely happy or only mask the depressive symptoms. Desperation was not uncommon ( $n = 63$ , 12.6%). Reference to suicidal thinking was relatively uncommon ( $n = 33$ , 6.6%). Some reported that they felt that their psychiatrist gave up on them ( $n = 12$ , 2.4%).

A small minority ( $n = 39$ , 7.8%) described previous use of ketamine, most of which was through illegal routes, and 16 respondents (3.2%) reported self-medicating with other drugs or alcohol. Nine patients (1.8%) reported longer-term self-medicating with ketamine (for example for 3, 6 and 15 years).

Comments about former ketamine experiences included feeling less depressed for several weeks, feeling peaceful or more capable of facing certain aspects of life. Patients found ketamine to be very effective in relieving depressive symptoms. It was called the only thing that had helped thus far and a way to see life from a more gentle and clear perspective. Other patients mentioned ketamine as alleviating their feeling of endless misery and reducing their suicidal thoughts. Further comments stated that ketamine helped them feel alive again, enabled them to function as a normal person and made them aware of themselves in a different way. One patient declared it made him feel like the person he lost years ago.

Two respondents expressed a negative opinion about ketamine. One respondent stated that an acquaintance died from bladder and kidney problems associated with ketamine addiction. Another respondent expressed the opinion that being depressed is a ‘mood’ instead of a ‘condition’ and should not be treated with any kind of medication.



**Fig. 1** Qualitative analysis of free-text comments. Percentage of the total respondents that filled in a free-text entry ( $n = 499$ ).

## Discussion

### Main findings

Our results among patients seeking information on ketamine treatment for depression show that in the last year, 54.0% had taken at least two antidepressants. Of all patients reporting depression, 64.3% report a chronic course of their symptoms and 87.9% of them have tried at least two antidepressants in the past ten years. This group of patients can therefore be regarded as treatment resistant. Their persistent symptoms despite regular antidepressant treatment can explain their interest in alternative treatment options. Depression is usually considered treatment resistant when an episode has not improved after at least two adequate trials of different classes of antidepressants.<sup>15</sup> The Sequenced Treatment Alternatives to Relieve Depression (STAR\*D) trial showed low remission rates when more than two treatment steps for depression are required (13.7% for the third and 13.0% for the fourth treatment steps).<sup>3</sup>

Of all patients filling in a free-text entry, 44.3% reported poor effect or intolerable side-effects from their current or previous antidepressant medication. Moreover, 12.6% volunteered that they were feeling completely desperate and some patients even stated that their psychiatrist gave up on them. This suggests that the majority of those seeking additional treatment options had relatively severe depression despite having tried one or more regular antidepressant treatment steps. Furthermore, 12.1% of patients feeling chronically depressed had tried no more than one antidepressant in the past 10 years. The free-text information of some patients contained comments indicating a general rejection of antidepressants. In some cases this was linked to negative comments about drug companies, but there are a wide variety of other possible explanations for this, for example anti-psychiatry, anti-medicine, anti-establishment.

The relatively small number of respondents that filled in a free-text entry and reported previous ketamine use (7.8%,  $n = 39$ ), often illegally, illustrates that there is some potential for an expansion of such self-medication practices if ketamine is not made available through conventional medical routes. Self-medicating exposes patients to risks because they cannot control the dosage or treat acute side-effects. It could be argued that by enabling the use of ketamine for treatment-resistant depression in a well-controlled treatment setting, the risk potential can be monitored. On the other hand, health care providers might also want to wait for the results of larger and better-controlled studies, especially monitoring longer-term outcomes and adverse effects.

Our survey suggests that 86.3% of those who actively seek ketamine treatment would qualify as resistant to treatment (inadequate response to at least two antidepressants) and they clearly voice a pressing need for more effective antidepressant treatments. It is also clear that, despite the optimism ketamine publications have inspired, patients and clinicians should be cautious in drawing conclusions from small, uncontrolled open-label studies<sup>16,17</sup> and larger randomised controlled trials are warranted. In addition, there is an urgent need to set up national or international registries to systematically collect data on effectiveness, long-term safety outcomes, tolerance, misuse and illegal diversion of off-label ketamine treatment in a situation where prescriptions are rapidly expanding.<sup>12,18</sup>

### Limitations

The main limitation of this descriptive study is the selective nature of the population completing the survey. Respondents had to be sufficiently motivated to come across the survey link and it may be that the profile of other interested individuals is different from those who did. However, it is likely that this survey does reflect the population of those who would be willing to use such a new treatment in a controlled manner.

A second limitation is that the self-report design is prone to recall bias, for example when patients are asked when they were last free from depression for more than a month. Furthermore, depressive symptoms experienced by patients might not always correspond with the diagnosis of a depressive episode by a specialist and based on regular classification criteria. It is likely that some patients reporting 'depression' in the questionnaire could have other diagnoses such as dysthymia, anxiety disorders or personality disorders. However, a large majority of the respondents report having had several treatments for depression. Moreover, the self-report information about depressive symptoms, for instance as used in the Inventory of Depressive Symptomatology Self-Report (IDS-SR), has shown to be highly related to the assessment of depressive symptoms by a trained clinician using the Hamilton Rating Scale for Depression.<sup>19</sup>

Another important limitation is that the current survey information is not sufficient to judge whether the former antidepressant treatment strategies had been adequate. In current daily clinical practice, factors such as non-adherence to treatment, poor psychoeducation and limited time to evaluate and treat depression are unfortunately common. Prescription of medication is often inadequate in terms of dosage and length of treatment.<sup>20,21</sup> The survey

also does not contain information about psychotherapy, even though several evidence-based psychotherapeutic interventions are available for the treatment of depression.

## Implications

This survey suggests that most patients who are actively seeking ketamine as an antidepressant have chronic, treatment-resistant depression. Many have given up on conventional therapies and on mental health services. Their tenacity in exploring treatments with evidence for efficacy, and the reports of self-medication with illegally obtained ketamine, suggest that excessively tight restrictions on medically controlled ketamine use could risk fuelling an expansion of illegal use. This risk needs balancing against the risks associated with long-term, repeated, medically controlled, use – which have yet to be quantified. Rapid large-scale aggregation of real-world clinical data, as well as randomised trial evidence is therefore needed if policy is to develop rationally.

**Jolien K. E. Veraart**, MD, PhD student, Department of Psychiatry, University Medical Center Groningen and Research School Behavioral and Cognitive Neurosciences, University of Groningen, the Netherlands; **Sanne Y. Smith-Apeldoorn**, MD, PhD student, Department of Psychiatry, University Medical Center Groningen and Research School Behavioral and Cognitive Neurosciences, University of Groningen, the Netherlands; **Hayley Trueman**, MSc (Oxon), Clinical Practitioner, Oxford Health NHS Foundation Trust and Department of Psychiatry, University of Oxford, UK; **Marrit K. de Boer**, MD, PhD, Psychiatrist, Department of Psychiatry, University Medical Center Groningen and Research School Behavioral and Cognitive Neurosciences, University of Groningen, the Netherlands; **Robert A. Schoevers**, MD, PhD, Professor, Department of Psychiatry, University Medical Center Groningen and Research School Behavioral and Cognitive Neurosciences, University of Groningen, the Netherlands; **Rupert McShane**, MD, FRCPsych, Clinical Practitioner, Oxford Health NHS Foundation Trust and Associate Professor, Department of Psychiatry, University of Oxford, UK

**Correspondence:** Jolien K. E. Veraart, Department of Psychiatry, University Medical Center Groningen, University of Groningen, Hanzplein 1, 9700 RB Groningen, the Netherlands. Email: [j.k.e.veraart@umcg.nl](mailto:j.k.e.veraart@umcg.nl)

First received 5 Feb 2018, final revision 3 Aug 2018, accepted 3 Aug 2018

## Funding

R.M. acknowledges funding support from the NIHR Oxford Health Mental Health Biomedical Research Centre and Collaboration for Leadership in Applied Health Research and Care.

## References

- Global Burden of Disease Study 2013 Collaborators. Global, regional, and national incidence, prevalence, and years lived with disability for 301 acute and chronic diseases and injuries in 188 countries, 1990-2013: a systematic analysis for the Global Burden of Disease Study 2013. *Lancet* 2015; **386**: 743–800.
- Gaynes BN, Warden D, Trivedi MH, Wisniewski SR, Fava M, Rush AJ. What did STAR\*D teach us? Results from a large-scale, practical, clinical trial for patients with depression. *Psychiatr Serv* 2009; **60**: 1439–45.
- Rush AJ, Trivedi MH, Wisniewski SR, Nierenberg AA, Stewart JW, Warden D, et al. Acute and longer-term outcomes in depressed outpatients requiring one or several treatment steps: a STAR\*D report. *Am J Psychiatry* 2006; **163**: 1905–17.
- Caddy C, Amit BH, McCloud TL, Rendell JM, Furukawa TA, McShane R, et al. Ketamine and other glutamate receptor modulators for depression in adults. *Cochrane Database Syst Rev* 2015; **9**: CD011612.
- Han Y, Chen J, Zou D, Zheng P, Li Q, Wang H, et al. Efficacy of ketamine in the rapid treatment of major depressive disorder: a meta-analysis of randomized, double-blind, placebo-controlled studies. *Neuropsychiatr Dis Treat* 2016; **12**: 2859–67.
- Kishimoto T, Chawla JM, Hagi K, Zarate CA, Kane JM, Bauwer M, et al. Single-dose infusion ketamine and non-ketamine N-methyl-D-aspartate receptor antagonists for unipolar and bipolar depression: a meta-analysis of efficacy, safety and time trajectories. *Psychol Med* 2016; **46**: 1459–72.
- Zhang MWB, Hong YX, Husain SF, Harris KM, Ho RC. Analysis of print news media framing of ketamine treatment in the United States and Canada from 2000 to 2015. *PLoS One* 2017; **12**: e0173202.
- Sanacora G, Frye MA, McDonald W, Mathew SJ, Turner MS, Schatzberg AF, et al. A consensus statement on the use of ketamine in the treatment of mood disorders. *JAMA* 2017; **74**: 399–405.
- Ketamine Advocacy Network. *Mission and Vision*. Ketamine Advocacy Network, 2015 ([www.ketamineadvocacynetwork.org/mission-and-vision](http://www.ketamineadvocacynetwork.org/mission-and-vision)).
- Loo C. Can we confidently use ketamine as a clinical treatment for depression? *Lancet Psychiatry* 2018; **5**: 11–12.
- Schatzberg AF. A word to the wise about ketamine. *Am J Psychiatry* 2014; **171**: 262–4.
- Singh I, Morgan C, Curran V, Nutt D, Schlag A, McShane R. Ketamine treatment for depression: opportunities for clinical innovation and ethical foresight. *Lancet Psychiatry* 2017; **4**: 419–26.
- Diamond PR, Farmery AD, Atkinson S, Haldar J, Williams N, Cowen PJ, et al. Ketamine infusions for treatment resistant depression: a series of 28 patients treated weekly or twice weekly in an ECT clinic. *J Psychopharmacol* 2014; **28**: 536–44.
- Oxford Health NHS Foundation Trust. *First UK study of ketamine for people with severe depression*. Oxford Health NHS Foundation Trust, 2014 ([www.oxfordhealth.nhs.uk/news/first-uk-study-of-ketamine-for-people-with-severe-depression](http://www.oxfordhealth.nhs.uk/news/first-uk-study-of-ketamine-for-people-with-severe-depression)).
- Berlim MT, Turecki G. Definition, assessment, and staging of treatment-resistant refractory major depression: a review of current concepts and methods. *Can J Psychiatry* 2007; **52**: 46–54.
- Ionescu DF, Swee MB, Pavone KJ, Taylor N, Akeju O, Baer L, et al. Rapid and sustained reductions in current suicidal ideation following repeated doses of intravenous ketamine: secondary analysis of an open-label study. *J Clin Psychiatry* 2016; **77**: e719–25.
- Apeldoorn SY, de Boer MK, Schoevers RA. Reduction in suicidal ideation following repeated doses of intravenous ketamine? *J Clin Psychiatry* 2017; **78**: e71.
- Sanacora G, Heimer H, Hartman D, Mathew SJ, Frye M, Nemeroff C, et al. Balancing the promise and risks of ketamine treatment for mood disorders. *Neuropsychopharmacology* 2016; **42**: 1179–81.
- Rush AJ, Gullion CM, Basco MR, Jarrett RB, Trivedi MH. The Inventory of Depressive Symptomatology (IDS): psychometric properties. *Psychol Med* 1996; **26**: 477–86.
- Jakovljevic M. Creative, person-centered and narrative psychopharmacotherapy or how to prevent and overcome treatment resistance in psychiatry. *Psychiatr Danub* 2015; **27**: 291–301.
- Rasmussen KG, Mueller M, Kellner CH, Knapp RG, Petrides G, et al. Patterns of psychotropic medication use among patients with severe depression referred for electroconvulsive therapy: data from the Consortium for Research on Electroconvulsive Therapy. *J ECT* 2006; **22**: 116–23.

